

**ROUNDTABLE ON THE FUTURE OF THE COMMUNITIES AND SMALL-SCALE MINING (CASM)
INITIATIVE**

Monday and Tuesday, June 28-29, 2010

Location:

World Bank
Main Complex Building, Room MC-C1-200
1818 H Street NW
Washington, DC

Roundtable Objectives:

- Explore potential vision, mission statement, and mandate for the future of CASM or a new entity that could respond to key artisanal and small-scale mining (ASM) challenges over the next decade
- Identify criteria or and specific options or models for governance, funding, and an institutional home to provide coordinated support to this entity
- Discuss the timeline and process for moving forward, including steps to refine preferred option(s); opportunities for stakeholder consultation and consensus building; and other preparation for the September CASM meeting

DAY 1: MONDAY, 28 JUNE

8:00 a.m.

Coffee/Tea and Open Space

Participants are invited to gather early to share updates and ideas prior to the start of the formal agenda.

9:00 – 9:15

Welcome and Introductions

- ASM's increased importance and visibility
William Kingsmill, Senior Advisor, Africa Region, World Bank
- CASM's need and opportunity for reinvention
Paulo de Sa, Manager, Oil, Gas and Mining Division, World Bank

9:15 – 9:30

Meeting Objectives, Agenda Review, and Working Assumptions

Jennifer Peyser, RESOLVE (facilitator)

9:30 – 11:00

Visioning a New Response to ASM Issues and Sustainable Development

- Presentation: Testing Assumptions – Feedback on need and vision received through pre-meeting survey (*10 min*)
- Facilitated discussion: Vision of key ASM needs and how it could inform a mission statement (*80 min*)
Discussion Guidance Questions:

1. What's the need? What are the key challenges or roadblocks to solutions related to sustainable development for the ASM sector?
2. Which of these needs or challenges represent gaps in capacity, coordination, or other support?
3. Where are the most promising opportunities to respond to gaps or enhance existing efforts?
4. Based on the overall landscape of ASM needs and opportunities, what might constitute a compelling mission statement?

11:00 – 11:15 **Break**

11:15 – 12:15 **Scope, Role, and Outcomes**

- Overview of feedback on a potential scope, role, and outcomes from pre-meeting survey (*10 min*)
- Facilitated discussion on scope, role, and outcomes, as informed by the vision/mission (*50 min*)

Discussion Guidance Questions:

1. What scope or issue sets will be addressed?
2. What should be the role of a new entity or type of services/support offered?
3. What specifically would you like to see a new entity accomplish in the next decade? What would be the measures of success?
4. Does this suite of scope, role, and outcomes inform an overall mandate that would be compelling to the range of potential partners and sectors?

12:15 – 12:30 **Principles in Designing Options for Governance and Institutional Home**

- Overview of feedback on criteria/principles and desirable characteristics of potential governance and housing structures, including models
- Brief Q&A

12:30 – 1:00 **Break to pick up lunch (provided)**

1:00 – 2:30 **Working Lunch: Small Group Discussions on Options for Governance and Institutional Home**

- Small group discussion (*note taker needed*):
 1. What should be the principles in designing a governance structure?
 2. What are the key criteria or desirable characteristics of an organization (or organizations) that could provide an institutional home?

3. Are there existing models that can provide insights into the design of governance and/or housing?
- Prepare 5-minute maximum report to the full group on 1-3 priority ideas for each of the above questions.

2:30 – 2:45

Break

2:45 – 4:30

Report Back and Discussion of Governance and Institutional Home and Criteria

- Report back from each small group on criteria/characteristics for housing and governance arrangements (*30 min*)
- Facilitated discussion (*75 min*)

4:30 – 5:00

Reflecting on Day 1 and Plans for Day 2

- Recap ideas
- Sketch straw proposals/options
- Homework assignments?

5:00

Adjourn

5:00 – 6:30

Cocktails and Hors d'œuvre

DAY 2: TUESDAY, 29 JUNE

8:30 a.m.

Coffee/Tea

9:00 – 10:15

Summary of Initial Ideas on Vision, Mission, and Key Characteristics of Governance Structure and Institutional Home

TBD

- Presentation: Potential sketches/models based on Day 1 discussion (*10 min*)
- Facilitated discussion: Initial feedback on potential models (*65 min*)

Discussion Questions:

1. What are the pros and cons of different models?
2. Are there additional models that should be explored?
3. Is there a general sense of a preferred model or models?

10:15 – 10:30

Break

10:30 – 12:00

Funding Mechanisms

- Presentation: Overview of feedback on ideas about funding mechanisms from pre-meeting survey (10 min)
- Facilitated discussion: Initial ideas on ideas for funding mechanisms (80 min)

Discussion Questions:

1. Given vision, mission, and criteria/desired characteristics of housing and governance, what are potential funding mechanisms?
2. Are there existing funding mechanisms that could serve as a model?
3. Are the proposed funding mechanisms sustainable and aligned with the mission?

12:00 – 12:15 **Break to pick up lunch (provided)**

12:15 – 1:15 **Working Lunch: Revisiting Options/Models for Governance and Institutional Home**

- Facilitated discussion on additional feedback regarding housing and governance options/models

Discussion Questions:

1. Based on potential funding, what adjustments to the housing/governance models might be needed?
2. What are other key considerations or outstanding questions in refining the options or preferred model(s)?

1:15 – 1:45 **Timeline and Milestones**

- Discuss the proposed process, timeline, and participants moving forward, including the following:
 - Preparation leading up to final CASM conference (July – September)
 - Initial plans for the September conference
 - Likely need for interim governance process following September
- Identify communication needs (messages and audiences) and roles

1:45 – 2:00 **Summary and Next Steps**

- Reminder of action items and leads
- Acknowledgments
- How progress will be communicated